

Coupling of geometrical transformations and modal methods for waveguides of complex shape

S. Felix¹

A. Maurel²

J. F. Mercier³

¹ LAUM, CNRS, Université du Maine, Le Mans, France

² Institut Langevin, CNRS, ESPCI ParisTech, Paris, France

³ POEMS, CNRS-INRIA-ENSTA-Paristech, Palaiseau, France

Outline

- ▶ Introduction
 - ▶ Initial motivation
 - ▶ General goal
- ▶ Geometrical transformations
- ▶ Simple case: straight guide with varying cross section
- ▶ Waveguide with circular restrictions
 - ▶ Parameters of the geometrical transformation
 - ▶ Case of several circles
- ▶ The numerical method
- ▶ The case of a half-waveguide
- ▶ Conclusion

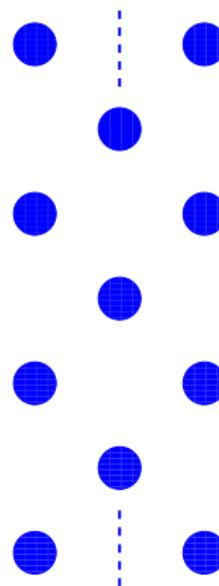
Initial motivation

Determine waves guided by infinite arrays
of penetrable scatterers

For one row:

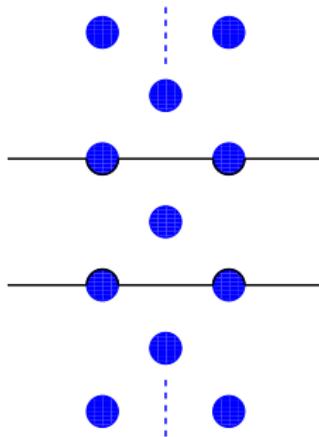
- Neumann \rightarrow guided waves can exist
- Dirichlet \rightarrow no guided waves

A.-S. Bonnet-BenDhia *et al.*, Math. Mod. Meth.
Appl. S. **17** (1994).

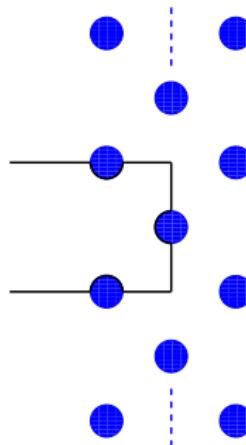


Reduction to a waveguide problem

Infinite waveguide



half-waveguide

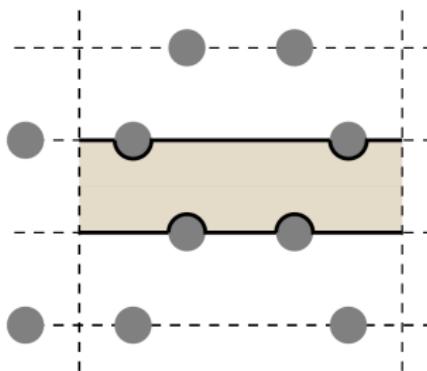


Requires to be able to consider waveguides with:

- varying cross section,
- varying ending wall.

Other geometries

Propagation through an hexagonal gaz



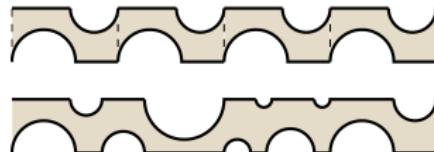
C. Perrot *et al.*, J. Acoust. Soc. Am.
124 (2008).

Corrugated waveguides



D. Tonon *et al.*, J. Sound Vib. 329
(2010).

Periodic and disordered Lorentz channels



T. Dittrich *et al.*, Chaos, Solitons and Fractals 8 (1997).

Outline

- ▶ Introduction
 - ▶ Initial motivation
 - ▶ General goal
- ▶ Geometrical transformations
- ▶ Simple case: straight guide with varying cross section
- ▶ Waveguide with circular restrictions
 - ▶ Parameters of the geometrical transformation
 - ▶ Case of several circles
- ▶ The numerical method
- ▶ The case of a half-waveguide
- ▶ Conclusion

General goal

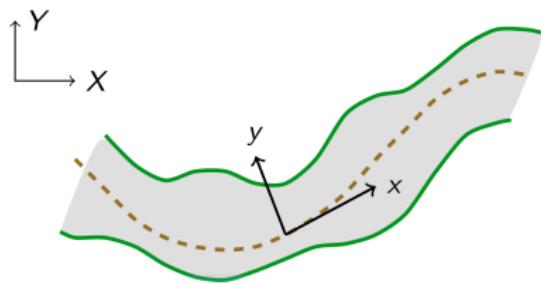
- **Aim:** develop modal methods, adapted to complex-shaped waveguides
- **Method:** use geometrical transformations to get a straight guide

Outline

- ▶ Introduction
 - ▶ Initial motivation
 - ▶ General goal
- ▶ Geometrical transformations
- ▶ Simple case: straight guide with varying cross section
- ▶ Waveguide with circular restrictions
 - ▶ Parameters of the geometrical transformation
 - ▶ Case of several circles
- ▶ The numerical method
- ▶ The case of a half-waveguide
- ▶ Conclusion

Geometrical transformations

General complex-shaped
waveguide considered:

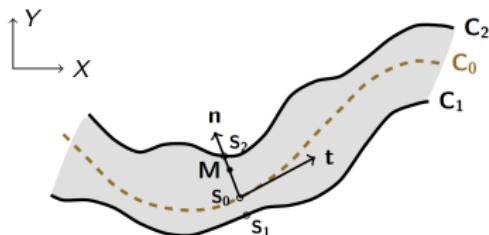


Simple geometry after
transformation:



Parametrisation

Let's consider $\mathbf{OM}(X, Y) = X\mathbf{i} + Y\mathbf{j}$. We can define $\mathbf{OM}(x, y)$ with:



x = arc length along C_0 ,

$$\mathbf{OS}_{1,2}(x) = \mathbf{OS}_0(x) + a_{1,2}(x)\mathbf{n}(x),$$

$$\mathbf{OM}(x, y) = \mathbf{OS}_0(x) + b(x, y)\mathbf{n}(x),$$

where

$$b(x, y) = a_1(x) + y a(x),$$

$$a(x) = a_2(x) - a_1(x),$$

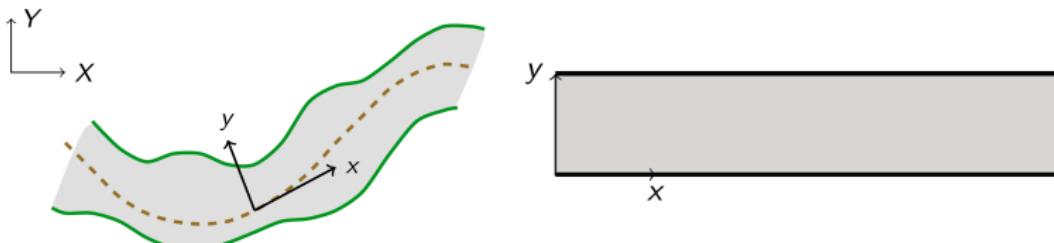
Valid for $\kappa(x)b(x, y) < 1$ where κ is the local curvature of C_0 :

$$\frac{d\mathbf{OS}_0}{dx} = \mathbf{t} \quad \text{and} \quad \frac{d\mathbf{n}}{dx} = -\kappa(x)\mathbf{t}$$

Change of variables

$$(X, Y) \rightarrow (x, y)$$

$$\boldsymbol{OM} = X\mathbf{i} + Y\mathbf{j} = \boldsymbol{OS}_0(x) + b(x, y)\mathbf{n}(x)$$



$P(X, Y)$ solution of

$p(x, y)$ solution of

$$\begin{aligned}\operatorname{div}(H\nabla p) + k^2 hp &= 0, \\ (\Delta + k^2)P &= 0, \\ \nabla P \cdot \mathbf{N} &= 0 \quad \text{on } C_{1,2} \\ (H\nabla p) \cdot \mathbf{e}_y &= 0 \quad \text{at } y = 0, 1\end{aligned}$$

Wave equation after geometrical transformation

$$\begin{cases} \operatorname{div}(H\nabla p) + k^2 hp = 0, \\ (H\nabla p) \cdot \mathbf{e}_y = 0 \quad \text{at } y = 0, 1 \end{cases}$$

with

$$H = \frac{J^t J}{\det J} = \frac{1}{\tau} \begin{pmatrix} a & -\frac{\partial b}{\partial x} \\ -\frac{\partial b}{\partial x} & \frac{\tau^2 + (\partial b / \partial x)^2}{a} \end{pmatrix}$$

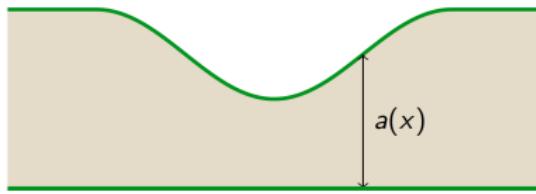
where

$$\begin{aligned} J &= \text{Jacobian of the transformation } (X, Y) \rightarrow (x, y), \\ \tau(x, y) &= 1 - \kappa(x)b(x, y), \\ h &= \frac{1}{\det J} = \tau a \end{aligned}$$

Outline

- ▶ Introduction
 - ▶ Initial motivation
 - ▶ General goal
- ▶ Geometrical transformations
- ▶ Simple case: straight guide with varying cross section
- ▶ Waveguide with circular restrictions
 - ▶ Parameters of the geometrical transformation
 - ▶ Case of several circles
- ▶ The numerical method
- ▶ The case of a half-waveguide
- ▶ Conclusion

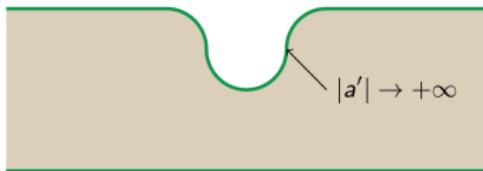
Simple case: straight guide with varying cross section



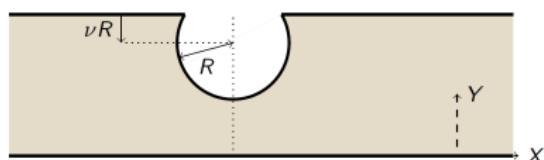
Change of variables:

$$x = X, \quad y = \frac{Y}{a(X)}$$

Limits of the simple change of variables



Waveguide containing restrictions
with circular arc shape:

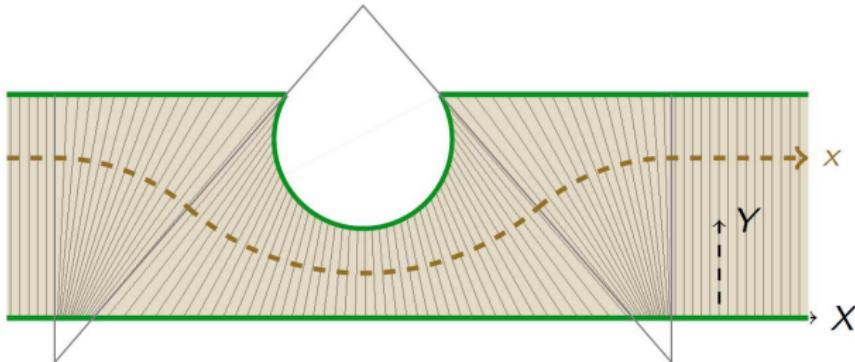


↪ half-circle impossible

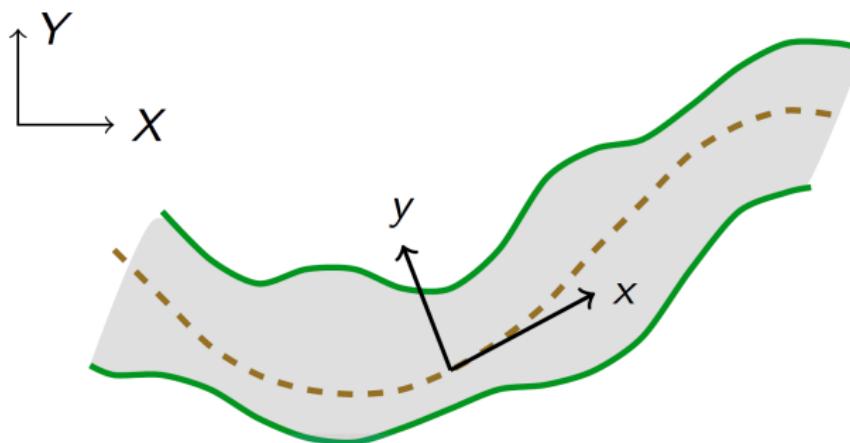
Outline

- ▶ Introduction
 - ▶ Initial motivation
 - ▶ General goal
- ▶ Geometrical transformations
- ▶ Simple case: straight guide with varying cross section
- ▶ **Waveguide with circular restrictions**
 - ▶ Parameters of the geometrical transformation
 - ▶ Case of several circles
- ▶ The numerical method
- ▶ The case of a half-waveguide
- ▶ Conclusion

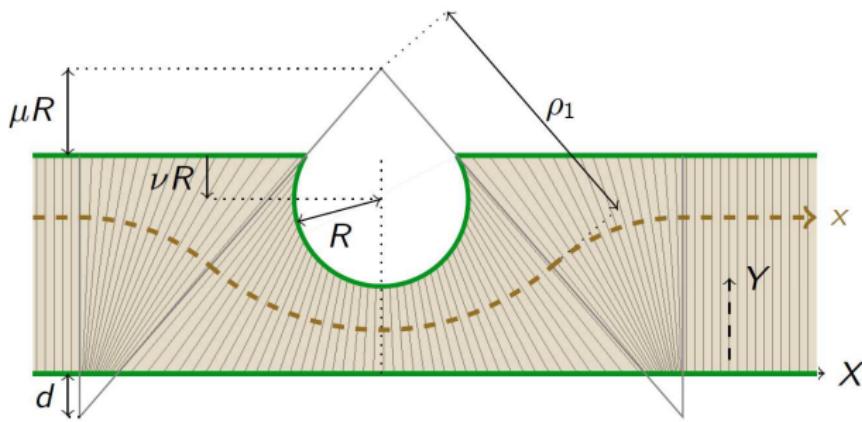
Good parametrisation



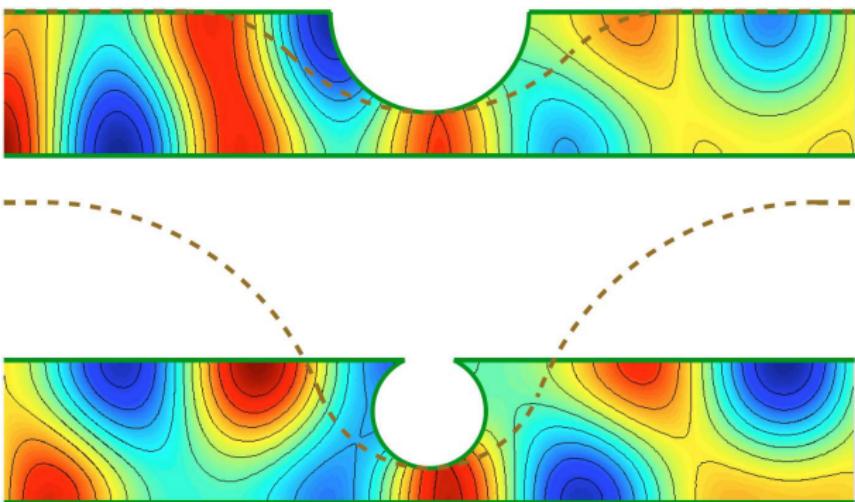
seen as:



Parameters of the geometrical transformation



Examples



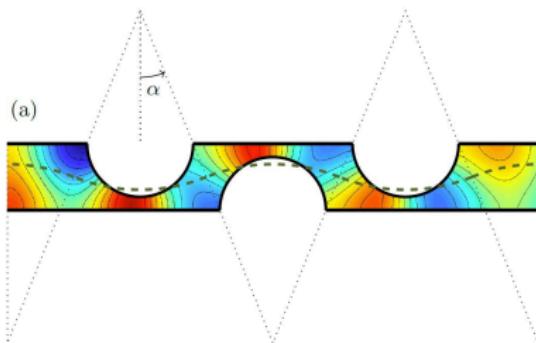
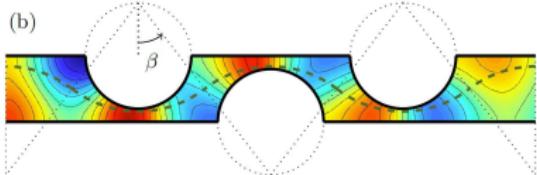
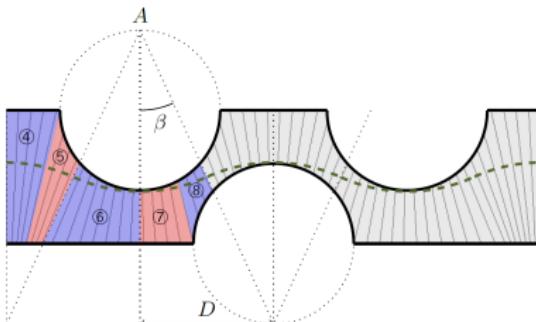
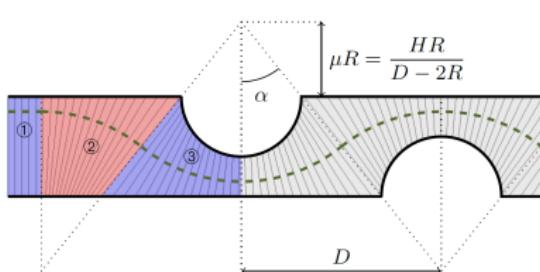
Outline

- ▶ Introduction
 - ▶ Initial motivation
 - ▶ General goal
- ▶ Geometrical transformations
- ▶ Simple case: straight guide with varying cross section
- ▶ **Waveguide with circular restrictions**
 - ▶ Parameters of the geometrical transformation
 - ▶ **Case of several circles**
- ▶ The numerical method
- ▶ The case of a half-waveguide
- ▶ Conclusion

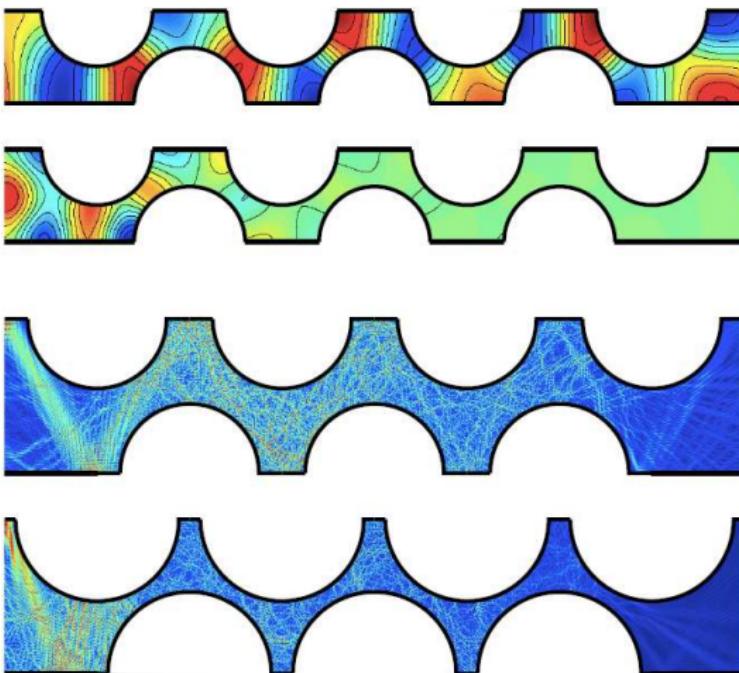
Case of several circles

Transformation 2

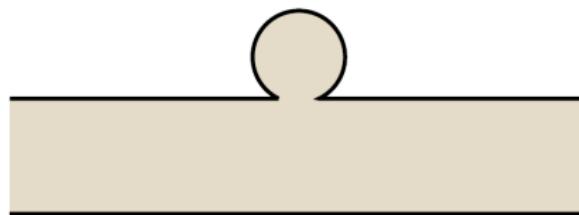
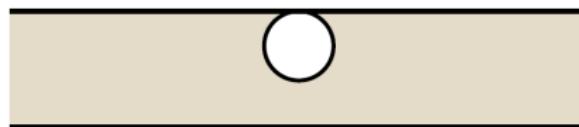
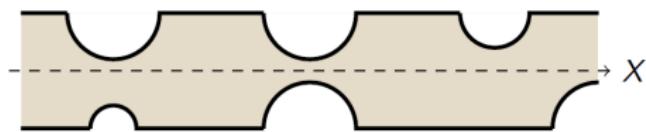
Transformation 1



Some other numerical results



Three nontrivial extensions



Outline

- ▶ Introduction
 - ▶ Initial motivation
 - ▶ General goal
- ▶ Geometrical transformations
- ▶ Simple case: straight guide with varying cross section
- ▶ Waveguide with circular restrictions
 - ▶ Parameters of the geometrical transformation
 - ▶ Case of several circles
- ▶ **The numerical method**
- ▶ The case of a half-waveguide
- ▶ Conclusion

The numerical method

Recall:



$$\begin{aligned}\operatorname{div}(H \nabla p) + k^2 h p &= 0, \\ (H \nabla p) \cdot \mathbf{e}_y &= 0 \quad \text{at} \quad y = 0, 1\end{aligned}$$

Choices of modal decomposition

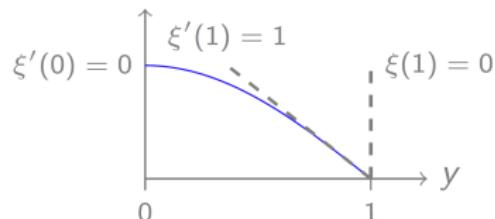
- Simple approach: $p^{(N)}(x, y) = \sum_{n=0}^{N-1} p_n(x) \varphi_n(y)$ where $\varphi_n = \cos(n\pi y)$ is the Neumann orthogonal basis of the transverse guide
→ slow convergence
- Improved approaches:
 - For Robin condition:

$$\frac{\partial p}{\partial y}(x, 0) = 0 \quad \text{and} \quad \frac{\partial p}{\partial y} = \eta(x)p \quad \text{at} \quad y = 1$$

$$p^{(N)}(x, y) = \sum_{n=0}^{N-1} p_n(x) [\varphi_n(y) + \eta(x)\varphi_n(1)\xi(y)]$$

W. Bi, V. Pagneux, D. Lafarge and Y. Aurégan, JASA 122 (2007)

with $\xi'(1) = 1$ and $\xi(1) = 0$
($\xi = \cos(\pi y/2)$)



Choices of modal decomposition

- For general conditions:

$$\frac{\partial p}{\partial y} = \eta(x) \frac{\partial p}{\partial x} \quad \text{at} \quad y = 1$$

Idea: extra unknown $\tilde{p}(x)$

$$p^{(N)}(x, y) = \sum_{n=0}^{N-2} p_n(x) \varphi_n(y) + \tilde{p}(x) \xi(y).$$

Initially developed for water waves

Athanassoulis *et al.* J. Fluid Mech. **389** (1999)

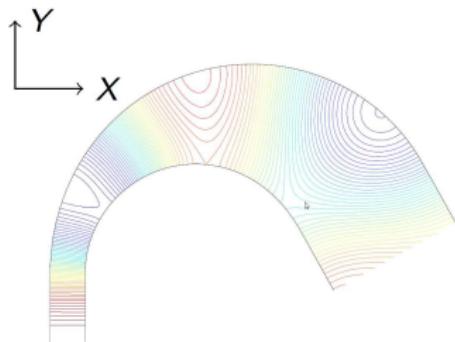
Convergence:

$$||p^{(\infty)} - p^{(N)}||_{H^1} \sim \frac{1}{N^{0.5}} \rightarrow \frac{1}{N^{2.5}}$$

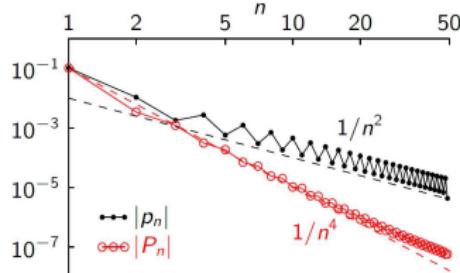
C. Hazard *et al.* IMA Journal of Applied Math. **73** (2008)

Convergence

Elephant trunk

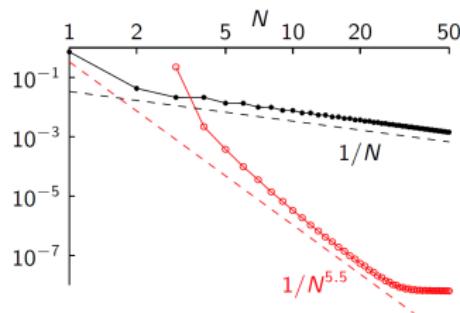
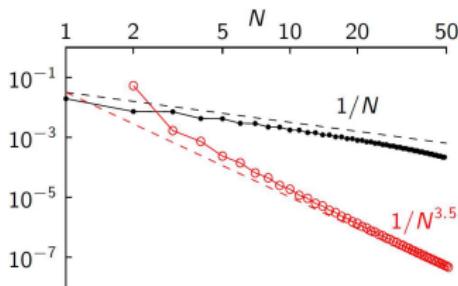


$$\|p_n\|_{L^2}$$



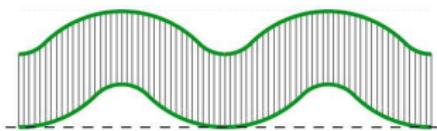
$$\|p^{(\infty)} - p^{(N)}\|_{L^2}$$

Refl. coef. (propagative modes)

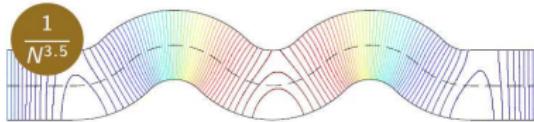
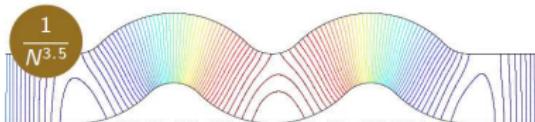
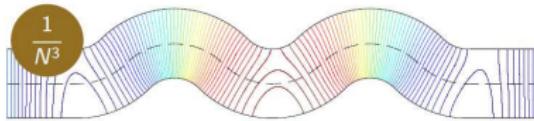
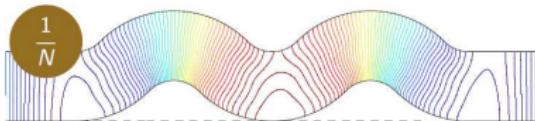
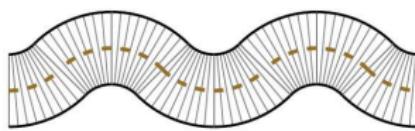


A funny configuration: the snake guide

Vertical change of variables



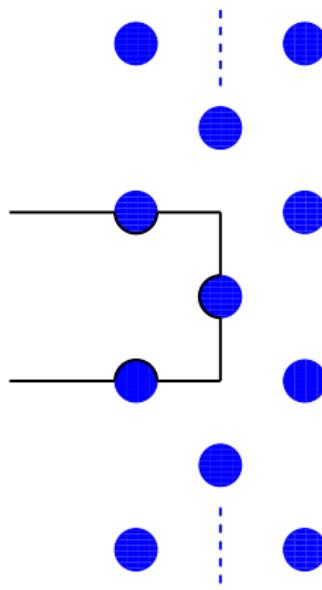
Orthogonal change of variables



Outline

- ▶ Introduction
 - ▶ Initial motivation
 - ▶ General goal
- ▶ Geometrical transformations
- ▶ Simple case: straight guide with varying cross section
- ▶ Waveguide with circular restrictions
 - ▶ Parameters of the geometrical transformation
 - ▶ Case of several circles
- ▶ The numerical method
- ▶ **The case of a half-waveguide**
- ▶ Conclusion

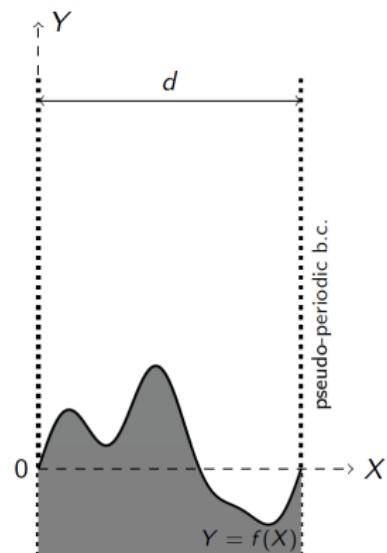
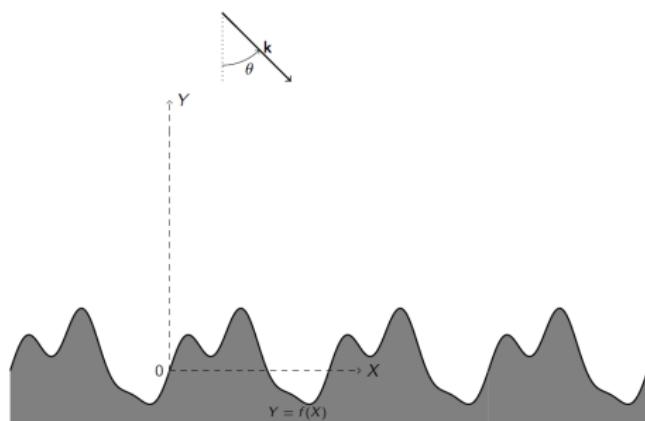
The case of a half-waveguide



Scattering of a wave by an impenetrable grating

Reduction to a guide

Infinite geometry

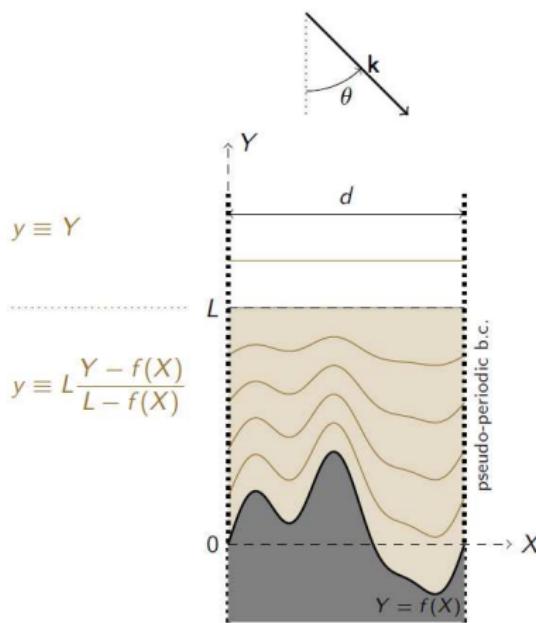


Existing methods

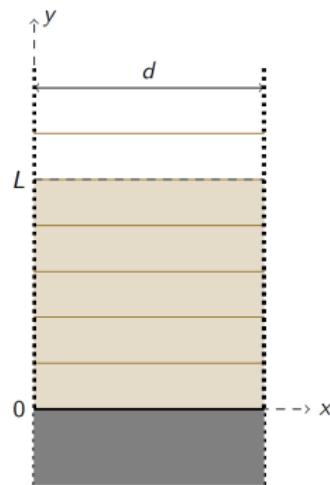
- Rayleigh hypothesis: modal method valid everywhere
Rayleigh, Proc. R. Soc. Lond. A **79** (1907)
- Geometrical transformation, to get a flat surface
 - C-method
 - translation coordinate system which transforms the grating surface into a plane surface
 - geometrical transformation that affects the whole space
 - J. Chandezon *et al.*, J. Opt. **11** (1980)
 - L. Li *et al.*, Pure Appl. Opt. **5** (1996)
 - local geometrical transformation
 - transformation in some bounded region containing the grating
 - A. A. Shcherbakov *et al.*, Opt. Expr. **21** (2013)
 - G. Favraud, and V. Pagneux, Proc. R. Soc. A **471** (2015)

Our method

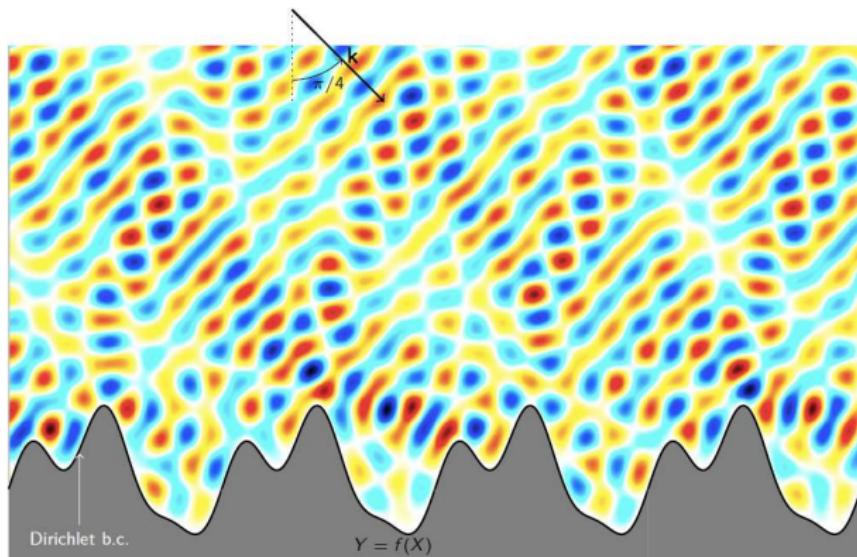
Before transformation



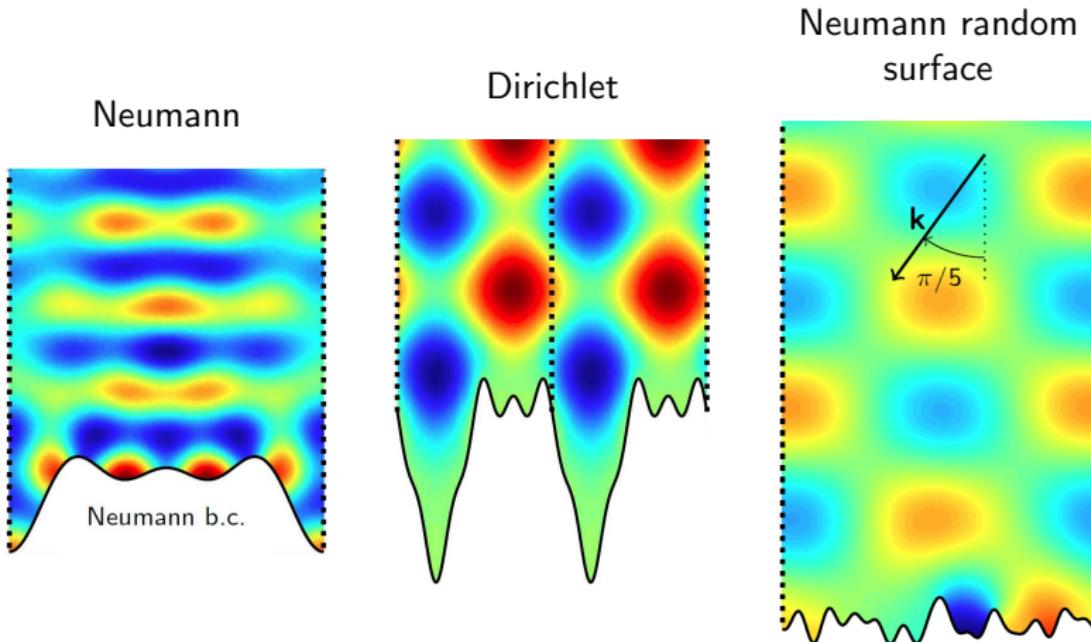
After transformation



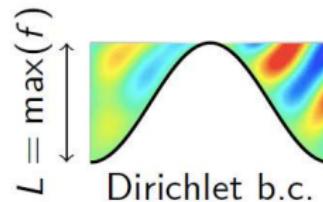
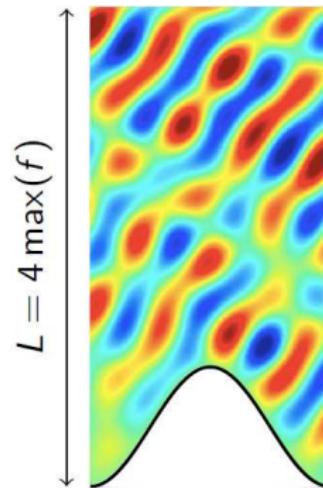
Example of scattering of a wave by an impenetrable grating



Other examples



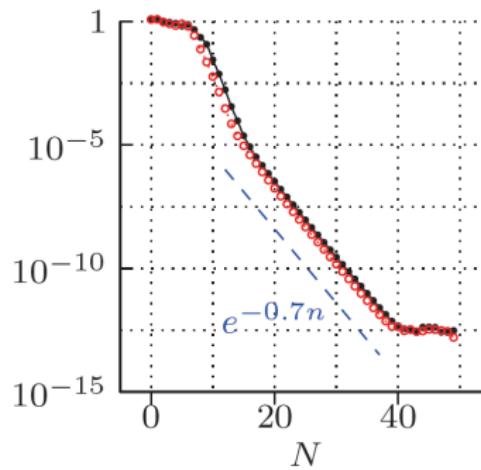
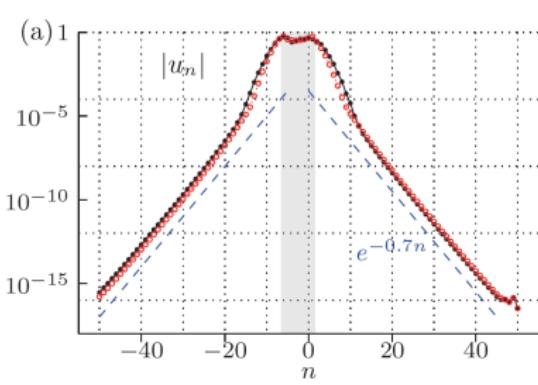
Influence of L



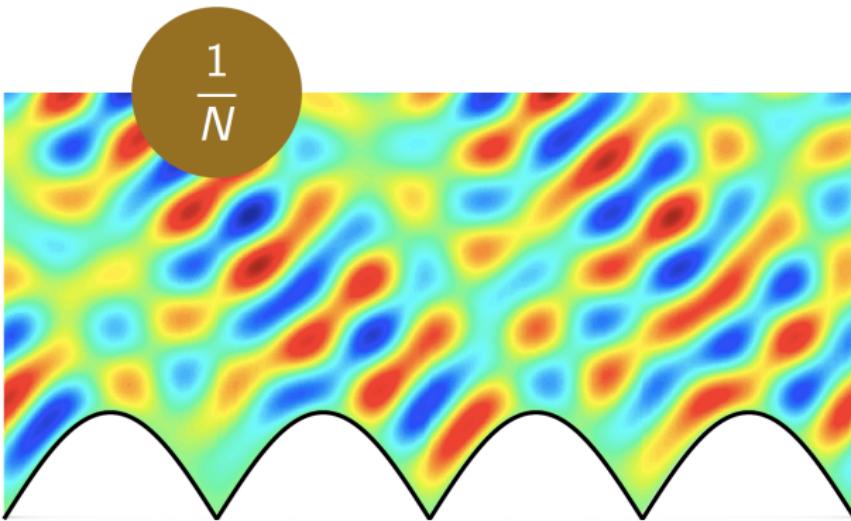
Convergence

Case $f \in C^\infty(\mathbb{R})$: exponential convergence

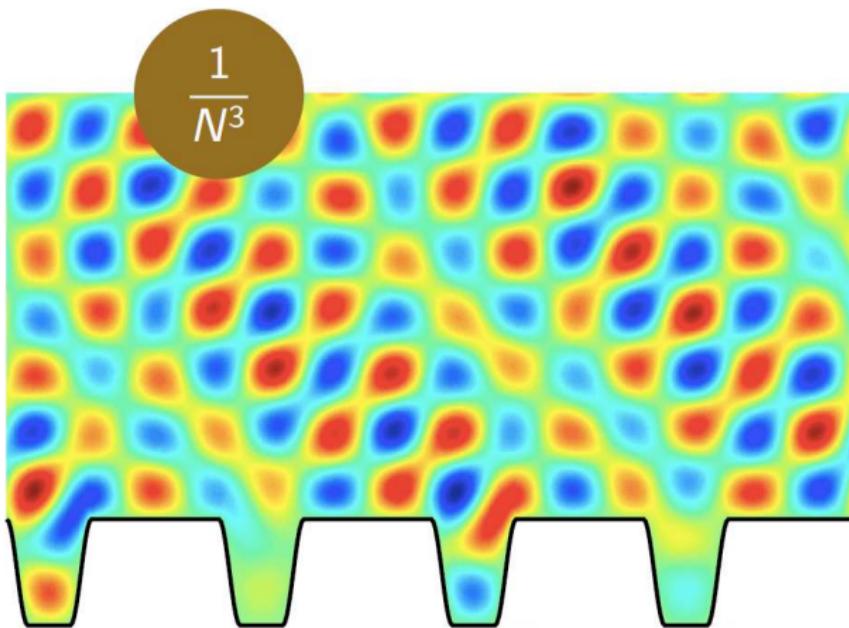
$$\|u^{(\infty)} - u^{(N)}\|_{L^2}$$



Profiles with discontinuous derivatives



Profiles with continuous derivatives

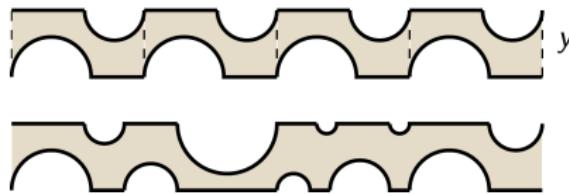


Outline

- ▶ Introduction
 - ▶ Initial motivation
 - ▶ General goal
- ▶ Geometrical transformations
- ▶ Simple case: straight guide with varying cross section
- ▶ Waveguide with circular restrictions
 - ▶ Parameters of the geometrical transformation
 - ▶ Case of several circles
- ▶ The numerical method
- ▶ The case of a half-waveguide
- ▶ Conclusion

Conclusion

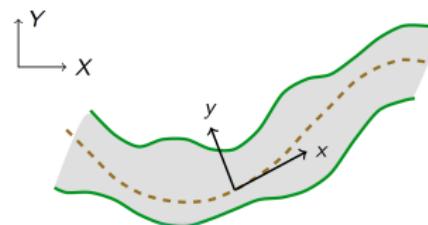
Initial geometry:



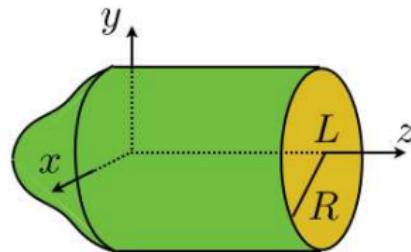
Final geometry:



Intermediate geometry:



Perspective: axisymmetric case



Thank you for your attention